# Interaction between matter and radiation: an introduction

**Overview of the basic processes** 

**Absorption (classical and quantum) Scattering (classical and quantum)** 

**Basic elements to follows lectures** 

**To introduce relativistic effects** 

#### **Main interactions**



Photon absorption: excitation

with or without emission of electrons

Photon scattering: elastic → Thompson (Magnetic) inelastic → Compton (Raman) Resonant (elastic and inelastic)



#### **Experimental techniques**

Absorption Photoemission

# ScatteringElastic Scattering:Diffraction, SAXSInelastic Scattering:Compton, IXSResonant scattering:R(I)XS

Imaging

Fluorescence Yield Auger spectroscopy

## What we measure in experiments?

#### **Experimental techniques: what we measure?**

#### **Experimental Setup**

#### Here is a schematic of the XAS experiment



**Absorption** 
$$I_{T}(E) = I_{0}e^{-\mu(E)x}$$

 $\succ$   $\mu(E)$  is called the absorption coefficient

- it describes quantitatively how the energy of a beam is transferred to the matter
- It is measured in m<sup>-1</sup>

> In a thickness  $1/\mu$  the intensity is reduced to 1/e



#### The cross section $\sigma$



 $\sigma$  has no geometrical meaning: it is a measure of the interaction It is called "cross section"

 $\sigma$ 

 $\Delta S$ 

 $1 \text{ barn} = 10^{-24} \text{ cm}^2$ 





#### **Absorption cross section**



 $\begin{array}{c} \textbf{Absorption}\\ \textbf{Photons are removed}\\ \textbf{from the beam because}\\ \textbf{are absorbed } \sigma_{abs.} \end{array}$ 





#### **Scattering cross section**



Scattering Photons are removed because are partially scattered into a different direction

 $\sigma_{\text{scatt.}}$ 



Total cross section  $\sigma = \sigma_{abs. +} \sigma_{scatt.}$ 

#### **Differential Cross Section** $d\sigma/d\Omega$



#### **Cross section & Probability**



#### **Cross Sections: Classical definition**

$$\frac{d\dot{N}}{\frac{sc.}{\dot{N}}} = \left(\frac{d\sigma}{d\Omega}\right) d\Omega \times (\rho dx)$$





$$\frac{\mathrm{d}\mathbf{I}}{\mathrm{d}\Omega} = \mathbf{I}_{0} \times \left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right) \times \left(\rho \mathrm{d}\mathbf{x}\right)$$

Differential cross section: it is the differential power scattered in  $d\Omega$ normalized to the incoming power and to the density of scattering objects



Total cross section  $\sigma$  of atoms



#### Total cross section $\sigma$ of atoms



#### **Raman scattering cross section**

#### **IR vibrational cross section**



# Matter ←Interaction → Radiation I

# **Classical description**

**Radiation:** 

Electromagnetic waves are described by Maxwell equations

#### Matter:

Macroscopic optical constants: index of refraction, absorption coefficient, reflection coefficient, dielectric function...

Two independent constant are enough in isotropic materials

#### **Deeper:**

microscopic origin of optical constants: description of the matter as an ensemble of classical oscillator



#### **Reflection and refraction**





$$\nabla^{2}\vec{\mathbf{E}} - \mu_{0}\varepsilon_{0}\frac{\partial^{2}\vec{\mathbf{E}}}{\partial t^{2}} = \mathbf{0} \qquad \nabla^{2}\vec{\mathbf{B}} - \mu_{0}\varepsilon_{0}\frac{\partial^{2}\vec{\mathbf{B}}}{\partial t^{2}} = \mathbf{0}$$

 $\mathbf{c} = \frac{1}{\sqrt{\mu_0 \epsilon_0}}$ The radiation is a wave and moves with a speed equal to c
Plane waves  $\vec{E} = \vec{E}_0 e^{i\left(\vec{k}\vec{r} - \omega t\right)} \qquad \vec{B} = \vec{B}_0 e^{i\left(\vec{k}\vec{r} - \omega t\right)}$ Real part  $\rightarrow \vec{E} = \vec{E}_0 \cos\left(\vec{k}\vec{r} - \omega t\right)$ 

**k** is the wavevector; it gives the direction of the propagation



**Plane waves** 

$$\vec{E} = \vec{E}_0 \cos\left(\vec{k}\vec{r} - \omega t\right) \qquad \qquad \vec{B} = \vec{B}_0 \cos\left(\vec{k}\vec{r} - \omega t\right)$$

# **Dispersion relation**

$$\lambda_0 v = \frac{\lambda_0}{T} = \lambda_0 \frac{\omega}{2\pi} = c$$

$$\nabla^{2}\vec{E} - \frac{1}{c^{2}}\frac{\partial^{2}\vec{E}}{\partial t^{2}} = 0$$
  
$$-k^{2}\vec{E} + \frac{\omega^{2}}{c^{2}}\vec{E} = 0 \rightarrow k = \frac{\omega}{c} \rightarrow \frac{2\pi}{\lambda_{0}} = \frac{2\pi\nu}{c} \rightarrow \lambda_{0}\nu = c$$

 $2\pi$ 

$$\lambda_0 v = \frac{\lambda_0}{T} = \lambda_0 \frac{\omega}{2\pi} = c$$

$$\left| \vec{\mathbf{k}} \right| = \frac{2\pi}{\lambda_0}$$

$$\vec{\mathbf{k}} = \frac{2\pi}{\lambda_0} \hat{\mathbf{k}} = \frac{\omega}{c} \hat{\mathbf{k}}$$

Plane waves  

$$\vec{E} = \vec{E}_0 e^{i\left(\vec{k} \cdot \vec{r} - \omega t\right)} \Rightarrow \vec{E} = \vec{E}_0 e^{i\left(\vec{k} \cdot \vec{r} - \omega t\right)} \Rightarrow$$

$$\vec{E} = \vec{E}_0 \cos \frac{2\pi}{\lambda_0} (x - ct) \Rightarrow \vec{E} = \vec{E}_0 \cos \left(\frac{2\pi x}{\lambda_0} - \omega t\right)$$

$$\vec{\mathbf{E}} = \vec{\mathbf{E}}_0 e^{i(\vec{k}\vec{r} \cdot \omega t)} \qquad \vec{\mathbf{B}} = \vec{\mathbf{B}}_0 e^{i(\vec{k}\vec{r} \cdot \omega t)}$$

Associated to the radiation there is an energy density w equal to:

w(t) = 
$$\frac{1}{2} \varepsilon_0 E(t)^2 + \frac{1}{2\mu_0} B(t)^2$$

0

$$w(t) = \frac{1}{2}\varepsilon_0 E(t)^2 + \frac{1}{2\mu_0}B(t)^2 = \varepsilon_0 E(t)^2$$

dx=cdt

$$\overline{w} = \frac{1}{2} \varepsilon_0 E_0^2$$

# Intensity I: Mean energy flow per unit time and unit area

$$I = \frac{1}{A} \frac{\overline{w} dV}{dt} = \frac{1}{A} \frac{\overline{w} (Acdt)}{dt} = \overline{w}c = \frac{1}{2} \varepsilon_0 E_0^2 c$$

The intensity I of the beam is:  $I = \overline{w}c = c\frac{1}{2}\varepsilon_0 E_0^2$ 

#### **Plane Wave in matter**

$$\nabla^2 \vec{E} - \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} = 0$$

$$\vec{\mathbf{E}} = \vec{\mathbf{E}}_{0} e^{i\vec{k}\vec{r}-\omega t}$$
$$\vec{\mathbf{B}} = \vec{\mathbf{B}}_{0} e^{i\vec{k}\vec{r}-\omega t}$$

$$\vec{\mathbf{k}} = \frac{2\pi}{\lambda}$$

 $\vec{\mathbf{k}}$  is the wavevector

$$\begin{aligned} \mathbf{\varepsilon} &= \mathbf{\varepsilon}_0 \mathbf{\varepsilon}_r \\ \mathbf{\mu} &= \mathbf{\mu}_0 \mathbf{\mu}_r \cong \mathbf{\mu}_0 \end{aligned}$$

$$\mathbf{v} = \frac{1}{\sqrt{\mu \ \varepsilon}} = \frac{1}{\sqrt{\mu_0 \mu_r \varepsilon_0 \varepsilon_r}} = \frac{c}{\sqrt{\mu_r \varepsilon_r}} \cong \left(\frac{c}{\sqrt{\varepsilon_r}} = \frac{c}{n}\right)$$

#### **Plane Wave in matter**

$$\nabla^{2}\vec{E} - \mu\epsilon \frac{\partial^{2}\vec{E}}{\partial t^{2}} = 0 \qquad \vec{E} = 0 \qquad$$

$$\vec{E} = \vec{E}_0 e^{i\left(\vec{k}\vec{r} - \omega t\right)}$$
$$\vec{B} = \vec{B}_0 e^{i\left(\vec{k}\vec{r} - \omega t\right)}$$

#### **Dispersion relation:**

$$k^{2} - \mu \epsilon \omega^{2} = 0 \implies k^{2} - \frac{n^{2}}{c^{2}} \omega^{2} = 0 \implies \frac{2\pi}{\lambda^{2}} - \frac{\omega^{2}}{v^{2}} = 0$$

$$\lambda 2\pi v = 2\pi c/n$$
  $\lambda = \lambda_0/n$ 

#### **E.M.** Waves in matter

$$n=(\epsilon_r)^{1/2}$$
  
refraction index

$$\left| \vec{\mathbf{v}} \right| = \frac{1}{\sqrt{\mu_{o}\mu_{r}\epsilon_{o}\epsilon_{r}}} = \frac{c}{\sqrt{\mu_{r}\epsilon_{r}}} = \frac{c}{n}$$

$$\left| \vec{\mathbf{v}} \right| = \frac{\mathbf{c}}{\mathbf{n}} < \mathbf{c}$$

In the matter the light is slower than in the vacuum



In the matter the wavelength is shorter than in the vacuum

$$|\vec{\mathbf{k}}| = \frac{2\pi}{\lambda_0} \times \mathbf{n}$$

Origin of the dielectric function and of the index of refraction (qualitative)

The electric field of the radiation cause a motion of the microscopic charges

Electrons and nuclei moves in opposite directions giving rise to microscopic electric dipoles





# Origin of the dielectric function and of the index of refraction (qualitative)

$$\vec{\mathbf{E}} = \frac{\varepsilon_0}{\varepsilon} \vec{\mathbf{E}}_{\text{vac.}}$$

The induced electric dipole and the electric field are not in phase (because of the electron and nuclei mass)

The dielectric function is a complex quantity with a real and an imaginary part  $\epsilon = \epsilon_1 + i\epsilon_2 = |\epsilon|e^{i\phi}$ 

# **Origin of the dielectric function and of the index of refraction (qualitative)**

$$\vec{\mathbf{E}} = \frac{\varepsilon_0}{\varepsilon} \mathbf{E}_{\text{vac.}} = \frac{\varepsilon_0}{|\varepsilon| e^{i\phi}} \vec{\mathbf{E}}_{\text{vac.}} = \frac{\varepsilon_0}{|\varepsilon|} \vec{\mathbf{E}}_{\text{vac.}} e^{-i\phi}$$

Amplitude relation is determined by the modulus (~ real part)
Phase relation

# **Complex dielectric function**

$$\varepsilon = \varepsilon_0 \varepsilon_r = \varepsilon_0 (\varepsilon_1 + i\varepsilon_2)$$

$$n^2 = \varepsilon_r = \varepsilon_1 + i\varepsilon_2$$

n is complex 
$$n=n_r+i\beta$$

$$\mathbf{n}_{r} = \left[\frac{\varepsilon_{1} + \left(\varepsilon_{1}^{2} + \varepsilon_{2}^{2}\right)^{\frac{1}{2}}}{2}\right]^{\frac{1}{2}} \cong \sqrt{\varepsilon_{1}}$$

$$\beta = \left[ \frac{-\varepsilon_1 + (\varepsilon_1^2 + \varepsilon_2^2)^{\frac{1}{2}}}{2} \right]^{\frac{1}{2}} \cong \frac{1}{2} \frac{\varepsilon_2}{n_r}$$

# **Complex wavevector**

$$\vec{k} = \frac{2\pi}{\lambda} \hat{k} = \frac{2\pi}{\lambda_0} n \hat{k} = \frac{\omega}{c} n \hat{k} \text{ is complex}$$
$$\vec{k} = \vec{k}_r + i\vec{k}_i = (\vec{k}_r + i\vec{k}_i) \hat{k}$$
$$\vec{k}_r = \frac{\omega n_r}{c} \hat{k}$$
$$\vec{k}_r = \frac{\omega \beta}{c} \hat{k}$$
$$\vec{k}_r = \frac{\omega \beta}{c} \hat{k}$$
$$\vec{k}_r = \frac{\omega \beta}{c} \hat{k}$$
# **Wave-damping: Absorption coefficient**

$$\vec{k} = \vec{k}_{r} + i\vec{k}_{i} = \frac{\omega}{c} (n_{r} + i\beta) \hat{k}$$

$$\vec{E} = \vec{E}_{0} e^{i(\vec{k}\vec{r} - \omega t)} = \vec{E}_{0} e^{i(\vec{k}_{r}\vec{r} - \omega t)} e^{-\vec{k}_{i}\vec{r}}$$
Standard plane wave  
as in vacuum with  
 $\lambda = \lambda_{0}/n$ 

$$\vec{k}_{i} = \frac{\omega\beta}{c} \hat{k}$$
Amplitude  
reduction
$$\vec{k}_{i} = \frac{\omega\beta}{c} \hat{k}$$
Intensity  $I \propto E^{2}$ 
Absorption coefficient  $\mu$ 

$$I(r) = I_{0}e^{-2\vec{k}_{i}\vec{r}} = I_{0}e^{-\mu X}$$

$$\mu = 2k_{i} = \frac{2\omega\beta}{c} \approx \frac{\omega\epsilon_{2}}{2c}$$

**Kramers-Kronig Relation** 

#### The real and imaginary parts of the dielectric function depend one on the other

$$\varepsilon_{1}(\omega) - 1 = \frac{2}{\pi} \int_{0}^{\infty} \frac{\overline{\omega} \varepsilon_{2}(\overline{\omega})}{\overline{\omega}^{2} - \omega^{2}} d\overline{\omega}$$

$$\varepsilon_{2}(\omega) = \frac{2\omega}{\pi} \int_{0}^{\infty} \frac{\varepsilon_{1}(\overline{\omega}) - 1}{\overline{\omega}^{2} - \omega^{2}} d\overline{\omega}$$

Causality: the dipole moment P(t) at time t is determined only by the values of the electric field at time t'≤ t

### **Microscopic model**

The matter is composed of positive and negative charges

At equilibrium the positive and negative charges do not give rise to any dipole moment





Oscillating negative charge Damped oscillator

$$\frac{d^{2}\vec{r}}{dt^{2}} + \gamma \frac{d\vec{r}}{dt} + \omega_{0}^{2}\vec{r} = \frac{e}{m}E_{0}e^{i\omega t}$$

# **Induced dipole moment**

$$\frac{d^{2}\vec{r}}{dt^{2}} + \gamma \frac{d\vec{r}}{dt} + \omega_{0}^{2}\vec{r} = \frac{e}{m}\vec{E}_{0}e^{i\omega t}$$
In stationary condition  

$$\vec{r}(t) = \vec{r}_{0}e^{i\omega t}$$

$$\left(-\omega^{2} + i\gamma\omega + \omega_{0}^{2}\right)\vec{r}_{0}e^{i\omega t} = \frac{e}{m}\vec{E}_{0}e^{i\omega t}$$

$$\vec{r}_{0} = \frac{e\vec{E}_{0}}{m}\frac{1}{\left(-\omega^{2} + i\gamma\omega + \omega_{0}^{2}\right)}$$

$$\vec{p}(t) = \mathbf{Z}e\vec{r}(t) = \frac{\mathbf{Z}e^{2}\vec{E}_{0}}{m}\frac{1}{\left(-\omega^{2} + i\gamma\omega + \omega_{0}^{2}\right)}e^{i\omega t}$$

### **Dielectric function**

N = number of atoms per unit volume

$$\vec{\mathbf{P}} = \boldsymbol{\varepsilon}_{_{0}} \boldsymbol{\chi} \vec{\mathbf{E}}$$
$$\boldsymbol{\varepsilon}_{_{r}} = \mathbf{1} + \boldsymbol{\chi}$$

$$\vec{\mathbf{P}}(\mathbf{t}) = N\vec{\mathbf{p}} = \frac{N\mathbf{Z}\mathbf{e}^{2}\vec{\mathbf{E}}_{0}}{\mathbf{m}}\frac{1}{\left(-\omega^{2} + \mathbf{i}\gamma\omega + \omega_{0}^{2}\right)}\mathbf{e}^{i\omega t}$$

$$\chi = \frac{N \mathbf{Z} \mathbf{e}^{2}}{\varepsilon_{0} \mathbf{m}} \frac{1}{\left(-\omega^{2} + \mathbf{i} \gamma \omega + \omega_{0}^{2}\right)}$$

$$\varepsilon_{r} = \mathbf{1} + \chi = \mathbf{1} + \frac{N\mathbf{Z}\mathbf{e}^{2}}{\varepsilon_{0}\mathbf{m}} \frac{1}{(-\omega^{2} + \mathbf{i}\gamma\omega + \omega_{0}^{2})}$$

## Electric field and dielectric function (in simple word)

$$\vec{\mathbf{P}} = \boldsymbol{\varepsilon}_{_{0}} \chi \vec{\mathbf{E}}$$
  $\boldsymbol{\varepsilon}_{_{r}} = 1 + \chi$ 

$$\varepsilon_{r} = 1 + \chi = 1 + \frac{NZe^{2}}{\varepsilon_{0}m} \frac{1}{\left(-\omega^{2} + i\gamma\omega + \omega_{0}^{2}\right)}$$

$$\vec{\mathbf{E}}_{0} \mathbf{e}^{\mathbf{i}(\vec{k}\vec{r}-\omega t)} \rightarrow \vec{\mathbf{E}}_{\text{tot.}} = \frac{\vec{\mathbf{E}}_{0} \mathbf{e}^{\mathbf{i}(\vec{k}\vec{r}-\omega t)}}{\varepsilon_{r}}$$

## **Real and imaginary part of the dielectric function**

$$\varepsilon_{r} = \mathbf{1} + \chi = \mathbf{1} + \frac{N\mathbf{Z}\mathbf{e}^{2}}{\varepsilon_{0}\mathbf{m}} \frac{1}{\left(-\omega^{2} + \mathbf{i}\gamma\omega + \omega_{0}^{2}\right)}$$

$$\varepsilon_{1} = \mathbf{1} + \frac{N\mathbf{Z}\mathbf{e}^{2}}{\varepsilon_{0}\mathbf{m}} \frac{\boldsymbol{\omega}_{0}^{2} - \boldsymbol{\omega}^{2}}{\left(\boldsymbol{\omega}_{0}^{2} - \boldsymbol{\omega}^{2}\right)^{2} + \left(\boldsymbol{\gamma}\boldsymbol{\omega}\right)^{2}}$$

$$\varepsilon_{2} = \frac{N \mathbf{Z} \mathbf{e}^{2}}{\varepsilon_{0} \mathbf{m}} \frac{\gamma \omega}{\left(\omega_{0}^{2} - \omega^{2}\right)^{2} + \left(\gamma \omega\right)^{2}}$$

## **General behavior of the real part of the dielectric function**

$$\varepsilon_{1} = \mathbf{1} + \frac{N\mathbf{Z}\mathbf{e}^{2}}{\varepsilon_{0}\mathbf{m}} \frac{\boldsymbol{\omega}_{0}^{2} - \boldsymbol{\omega}^{2}}{\left(\boldsymbol{\omega}_{0}^{2} - \boldsymbol{\omega}^{2}\right)^{2} + \left(\boldsymbol{\gamma}\boldsymbol{\omega}\right)^{2}}$$

$$\varepsilon_1(\mathbf{0}) = \mathbf{1} + \frac{N\mathbf{Z}\mathbf{e}^2}{\varepsilon_0 \mathbf{m}\omega_0^2} \qquad \varepsilon_1(\omega \gg \omega_0) = \mathbf{1} - \frac{N\mathbf{Z}\mathbf{e}^2}{\varepsilon_0 \mathbf{m}\omega^2}$$



#### Behavior of the real part above $\omega_0$



## **Behavior of the real part at high energy**

$$\varepsilon_1(\omega \gg \omega_0) = 1 - \frac{N Z e^2}{\varepsilon_0 m \omega^2}$$



$$\varepsilon_1(\omega >> \omega_0) < 1$$

**Refraction index at high energy** 

$$\mathbf{n}_{r} = \sqrt{1 - \frac{N \mathbf{Z} \mathbf{e}^{2}}{\varepsilon_{0} \mathbf{m} \boldsymbol{\omega}^{2}}} \cong 1 - \frac{1}{2} \frac{N \mathbf{Z} \mathbf{e}^{2}}{\varepsilon_{0} \mathbf{m} \boldsymbol{\omega}^{2}} = 1 - \delta$$

$$\delta = \frac{1}{2} \frac{N \mathbb{Z} e^2}{\varepsilon_0 m \omega^2} \cong 10^{-5} - 10^{-6}$$



### **Use of Total Reflection**



$$\theta_{c} = \sqrt{2\delta} \cong \text{few } 10^{-3}$$

•X-ray Mirrors•Surface Diffraction•REFLEXAFS

### **Total Reflection: evanescent wave**



## **REFLEXAFS: evanescent wave**

$$E_{T} = E_{o}Te^{ik_{Tx}x}e^{-kn_{T}z\sqrt{\alpha_{c}^{2}-\alpha_{i}^{2}}}$$

$$\Lambda = penetration length$$

$$\Lambda = \frac{1}{2kn\sqrt{\alpha_{c}^{2}-\alpha_{i}^{2}}} \frac{1}{2kn\alpha_{c}} = 12 \dot{A} (Au)$$

Under total reflection condition the X-ray beam is confined in a layer of few tens of A from the surface Surface sensitivity

### **Total Reflection: evanescent wave**



#### **z=0**



Somewhat counterintuitively, the amplitude of the evanescent wave can actually be greater than the incident one.

# **Behavior of the imaginary part**

$$\varepsilon_{2} = \frac{N \mathbf{Z} \mathbf{e}^{2}}{\varepsilon_{0} \mathbf{m}} \frac{\gamma \omega}{\left(\omega_{0}^{2} - \omega^{2}\right)^{2} + \left(\gamma \omega\right)^{2}}$$





3

$${}_{2}(\omega \gg \omega_{0}) = \frac{N \mathbb{Z} e^{2}}{\varepsilon_{0} m} \frac{\gamma}{\omega^{3}} \qquad \qquad \beta = \frac{N \mathbb{Z} e^{2}}{2 \varepsilon_{0} m} \frac{\gamma}{\omega^{3}}$$

### **Absorption coefficient**

$$\mu = 2k_{i} = \frac{2\omega\beta}{c} \approx \frac{\omega\epsilon_{i}}{2c}$$
$$I(r) = I_{0}e^{-2\vec{k}_{i}\vec{r}} = I_{0}e^{-\mu x}$$





### Scattering

Electric field generated by an oscillating point electric charge q The charge is oscillating under the action of the electric field of the incoming radiation

$$\mathbf{x} = -\frac{1}{4\pi\varepsilon_{0}} \frac{\mathbf{qr}_{0}\omega^{2}}{\mathbf{c}^{2}} \frac{\mathbf{e}^{i(\bar{k}_{out}\bar{r}-\omega t)}}{|\mathbf{r}|} \sin\theta$$

The electric field is in the plane (OzP)

## **Scattering by a free electron** ( $\omega >> \omega_{0}$ )

$$\frac{d^{2}\vec{r}_{e}}{dt^{2}} = \frac{e}{m}\vec{E}_{0}e^{-i\omega t}$$
$$\vec{r}_{e} = \vec{r}_{0}e^{-i\omega t}$$
$$\vec{r}_{e}(t) = -\frac{e}{m\omega^{2}}\vec{E}_{0}e^{i\omega t}$$
$$\vec{r}_{e}(t) = -\frac{e}{m\omega^{2}}\vec{E}_{0}e^{i\omega t}$$

### **Differential cross section**

**Differential cross section ( normalized differential scattered power)** 



### **Electron classical radius**

$$\frac{d\sigma}{d\Omega} = \left(\frac{1}{4\pi\varepsilon_0}\frac{e^2}{mc^2}\right)^2 \sin^2\theta = r_e^2 \sin^2\theta$$

 $r_e$  is called the electron classical radius=2.818 10<sup>-15</sup> m

$$\frac{1}{4\pi\epsilon_{_0}}\frac{e^2}{r_{_e}}=mc^2$$

In Gauss system 
$$r_e = \frac{e^2}{mc^2}$$





The plane formed by the direction of the incoming and outcoming radiation is called is scattering angle It is the plane formed by k<sub>in</sub> and k<sub>out</sub>

The angle  $\theta_s$  is called the scattering angle (Sometimes the scattering angle is indicated with 2  $\theta_s$ )

## Incoming Radiation polarized perpendicular to the Scattering Plane



Incoming radiation polarized perpendicular to the scattering plane  $\pi_s$  $\rightarrow \theta = \pi/2 \rightarrow \sin \theta = 1$ 

#### Scattering radiation perpendicular to the scattering plane

$$(\hat{\mathbf{e}}_{in} \bullet \hat{\mathbf{e}}_{out}) = \mathbf{1} = \mathbf{sin}\,\mathbf{\theta}$$

$$\frac{d\sigma}{d\Omega} = \sin^2 \theta \ r_e^2 = r_e^2 = (\hat{e}_{in} \bullet \hat{e}_{out})^2 r_e^2$$

### Incoming radiation polarized in the Scattering Plane



Incoming radiation polarized in the scattering plane  $\pi_s$ It is also perpendicular to  $k_{in}$ 

Scattering radiation is polarized in the scattering plane

$$\theta + \theta_s = \frac{\pi}{2}$$

$$(\hat{\mathbf{e}}_{_{\mathrm{in}}} \bullet \hat{\mathbf{e}}_{_{\mathrm{out}}}) = (\hat{\mathbf{k}}_{_{\mathrm{in}}} \bullet \hat{\mathbf{k}}_{_{\mathrm{out}}}) = \cos \theta_{_{\mathrm{s}}} = \sin \theta$$

$$\frac{d\sigma}{d\Omega} = \left(\hat{e}_{in} \bullet \hat{e}_{out}\right)^2 r_e^2$$

## **Charge distributions: Scattering Factor**

$$dN_{e} = \rho_{e}dV \qquad \vec{r} - \vec{r}_{e} \qquad P \qquad E_{in} = E_{0}e^{i(\vec{k}_{in}\vec{r}-\omegat)}$$

$$E_{\theta} = \frac{1}{4\pi\epsilon_{0}}\frac{e^{2}E_{0}}{mc^{2}}\frac{e^{i(\vec{k}_{out}\vec{r}-\omegat)}}{|r|}(\hat{e}_{in} \cdot \hat{e}_{out})$$

$$dE_{\theta} = \frac{1}{4\pi\epsilon_{0}}\frac{e^{2}E_{0}e^{i\vec{k}_{in}\vec{r}_{e}}}{mc^{2}}\frac{e^{i(\vec{k}_{out}(\vec{r}-\vec{r}_{e})-\omegat)}}{|\vec{r} - \vec{r}_{e}|}(\hat{e}_{in} \cdot \hat{e}_{out})\rho_{e}dV$$



### **Scattering Factor V**

$$\mathbf{E}_{\theta} = \mathbf{E}_{\text{Single}} \mathbf{f}(\mathbf{\vec{q}})$$
$$\mathbf{\vec{q}} = \mathbf{\vec{k}}_{\text{out}} - \mathbf{\vec{k}}_{\text{in}}$$

$$\mathbf{f}(\mathbf{\vec{q}}) = \int e^{-i\mathbf{\vec{q}}\cdot\mathbf{\vec{r}}_e} \rho_e \mathbf{dV}$$

Number of electrons per unit volume

#### Scattering amplitude ∝ to: Fourier Transform of the charge density (in electron units) For atoms, molecules, crystals ...

$$\frac{d\sigma}{d\Omega} = r_e^2 (\hat{e}_{in} \bullet \hat{e}_{out})^2 |f(\vec{q})|^2$$
 Phase Problem

**Overview**  $\mathbf{E}_{\theta} = \frac{1}{4\pi\epsilon_{0}} \frac{\mathbf{e}^{2}}{\mathbf{mc}^{2}} (\hat{\mathbf{e}}_{in} \bullet \hat{\mathbf{e}}_{out}) \frac{\mathbf{e}^{i\left(\vec{k}_{out}\vec{r}-\omega t\right)}}{|\vec{\mathbf{r}}|} \mathbf{E}_{0} = \mathbf{r}_{e} (\hat{\mathbf{e}}_{in} \bullet \hat{\mathbf{e}}_{out}) \frac{\mathbf{e}^{i\left(\vec{k}_{out}\vec{r}-\omega t\right)}}{|\vec{\mathbf{r}}|} \mathbf{E}_{0}$  $\frac{d\sigma}{d\Omega} = r_e^2 (\hat{e}_{in} \bullet \hat{e}_{out})^2$  $\mathbf{E}_{\theta} = \int d\mathbf{E}_{\theta} = \mathbf{E}_{\text{Single}} \int e^{i(\vec{k}_{\text{out}} - \vec{k}_{\text{in}}) \vec{r}_{e}} \rho_{e} d\mathbf{V} = \mathbf{E}_{\text{Single}} \mathbf{f}(\vec{q})$  $\frac{d\sigma}{d\Omega} = r_e^2 (\hat{e}_{in} \bullet \hat{e}_{out})^2 |f(\vec{q})|^2$ 

## **Anomalous correction**

Electrons are not free but are bound

$$\frac{d^{2}\vec{r}}{dt^{2}} + \gamma \frac{d\vec{r}}{dt} + \omega_{0}^{2}\vec{r} = \frac{e}{m}E_{0}e^{i\omega t}$$

$$\vec{\mathbf{r}} = \vec{\mathbf{r}}_{_{0}} \mathbf{e}^{_{\mathbf{i}\omega\mathbf{t}}}$$

$$\vec{\mathbf{r}}_{_{0}} = \left(-\frac{e\vec{\mathbf{E}}_{_{0}}}{m\omega^{^{2}}}\right) \frac{-\omega^{^{2}}}{\left(\omega_{_{0}}^{^{2}}-\omega^{^{2}}\right) - \mathbf{i}\gamma\omega}$$

$$\vec{\mathbf{r}}_{0} = \left(-\frac{e\vec{\mathbf{E}}_{0}}{m\omega^{2}}\right)\left[1-\frac{\omega_{0}^{2}-i\gamma\omega}{(\omega_{0}^{2}-\omega^{2})-i\gamma\omega}\right]$$

### **Anomalous correction**

$$\mathbf{f}_{i} = \mathbf{f}_{i}^{\text{free}} \left[ 1 - \frac{\omega_{0}^{2} - \mathbf{i}\gamma\omega}{\left(\omega_{0}^{2} - \omega^{2}\right) - \mathbf{i}\gamma\omega} \right]$$

ω<<ω



At low frequency electron do not Contribute to the scattering

$$\omega >> \omega_0$$
  $\mathbf{f}_i = \mathbf{f}_i^{\text{free}}$ 

At high frequency the electron behaves like free electrons

### **Anomalous correction for atoms**

$$\mathbf{f} = \mathbf{f}^{\text{free}} + \Delta \mathbf{f} = \sum_{j} \mathbf{f}_{j}^{\text{free}} - \sum_{j} \mathbf{f}_{j}^{\text{free}} \frac{\boldsymbol{\omega}_{0j}^{2} - \mathbf{i}\gamma\omega}{\left(\boldsymbol{\omega}_{0j}^{2} - \boldsymbol{\omega}^{2}\right) - \mathbf{i}\gamma\omega}$$



### Anomalous correction for atoms: f' and f'' of Ge



### **Anomalous correction for Au**

$$\mathbf{f} = \mathbf{f}^{\text{free}} + \Delta \mathbf{f} = \sum_{j} \mathbf{f}_{j}^{\text{free}} + \sum_{j} \mathbf{f}_{j}^{\text{free}} \frac{\boldsymbol{\omega}_{0j}^{2} - \mathbf{i}\gamma\boldsymbol{\omega}}{\left(\boldsymbol{\omega}_{0j}^{2} - \boldsymbol{\omega}^{2}\right) - \mathbf{i}\gamma\boldsymbol{\omega}}$$

Gold Z=



# KK & Optical theorem

$$\mathbf{f}'' = \frac{\mathbf{mc}^{2}}{2\mathbf{Ne}^{2}\lambda} \boldsymbol{\mu} \propto \boldsymbol{\mu}$$

$$\mathbf{f}' = \frac{2}{\pi} \int_{0}^{\infty} \overline{\omega} \mathbf{f}''(\overline{\omega}) d\overline{\omega} + \frac{5E_{tot}}{3mc^2}$$

$$\mathbf{f}'' = -\frac{2\omega}{\pi}\int_{0}^{\infty}\frac{\mathbf{f'}(\overline{\omega})}{\omega^{2}-\overline{\omega}^{2}}\mathbf{d}\overline{\omega}$$
#### **Anomalous scattering to solve the phase problem**



$$\begin{split} & E_{sc.} \propto E_{0} e^{i\vec{k}_{in}\vec{r}_{A}} f_{A} e^{i\vec{k}_{out}(\vec{r}-\vec{r}_{A})} + E_{0} e^{i\vec{k}_{in}\vec{r}_{B}} f_{B} e^{i\vec{k}_{out}(\vec{r}-\vec{r}_{B})} \\ & \propto E_{0} e^{i\vec{k}_{in}\vec{r}_{A}} e^{i\vec{k}_{out}(\vec{r}-\vec{r}_{A})} (f_{A} + f_{B} e^{i\vec{k}_{in}(\vec{r}_{B}-\vec{r}_{A})} e^{i\vec{k}_{out}(\vec{r}_{A}-\vec{r}_{B})}) \\ & E_{0} e^{i\vec{k}_{in}\vec{r}_{A}} e^{i\vec{k}_{out}(\vec{r}-\vec{r}_{A})} (f_{A} + f_{B} e^{i\vec{q}(\vec{r}_{A}-\vec{r}_{B})}) \end{split}$$

$$\vec{\mathbf{q}} = \vec{\mathbf{k}}_{\text{out}} - \vec{\mathbf{k}}_{\text{in}}$$



$$\begin{aligned} & \text{Friedel law} \\ & \text{When } \mathbf{f}_{A} \text{ and } \mathbf{f}_{B} \text{ are complex } \neq \mathbf{I}(\mathbf{q}) \neq \mathbf{I}(-\mathbf{q}) \\ & |(\mathbf{f}_{A} + \mathbf{f}_{B} \mathbf{e}^{i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})})|^{2} = (\mathbf{f}_{A} + \mathbf{f}_{B} \mathbf{e}^{i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})})(\mathbf{f}_{A}^{*} + \mathbf{f}_{B}^{*} \mathbf{e}^{-i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})}) \\ & |(\mathbf{f}_{A} + \mathbf{f}_{B} \mathbf{e}^{-i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})})|^{2} = (\mathbf{f}_{A} + \mathbf{f}_{B} \mathbf{e}^{-i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})})(\mathbf{f}_{A}^{*} + \mathbf{f}_{B}^{*} \mathbf{e}^{+i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})}) \\ & \mathbf{f}_{A} = |\mathbf{f}_{A}| \mathbf{e}^{i\Phi_{A}} \qquad \mathbf{f}_{B} = |\mathbf{f}_{B}| \mathbf{e}^{i\Phi_{B}} \\ & \mathbf{I}(\bar{\mathbf{q}}) - \mathbf{I}(-\bar{\mathbf{q}}) \propto \text{Re}\left\{\mathbf{e}^{i\bar{\mathbf{q}}(\bar{\mathbf{r}}_{A} - \bar{\mathbf{r}}_{B})\right\} \text{Re}\left\{\mathbf{e}^{i(\Phi_{A} - \Phi_{B})}\right\} \end{aligned}$$



$$E = -\vec{\mu}\vec{H}$$
$$\vec{F} = \operatorname{grad}\left(\vec{\mu}\vec{H}\right)$$

Is due to the variation of the energy for the non uniformity of the magnetic field of the radiation



magnetic field of the radiation

## **Strength of Magnetic Interactions**



$$\frac{\left|\vec{F}_{M2}\right|}{\left|\vec{F}_{T}\right|} = \frac{\left|\operatorname{grad}\left(\vec{\mu}\cdot\vec{H}\right)\right|}{\left|eE\right|} = \frac{\left|\operatorname{grad}\left(\vec{\mu}\cdot\vec{H}_{0}e^{i\vec{k}\cdot\vec{r}}\right)\right|}{eE_{0}} = \frac{k}{2} \frac{1}{\lambda} \left(\frac{e\hbar}{2m}\right) \frac{1}{2} \frac{H_{0}}{E_{0}} \approx \frac{\pi\hbar}{mc\lambda} = \frac{\lambda_{\text{compton}}}{\lambda} \approx 10^{-2}$$

$$\frac{Only \text{ magnetic}}{Electrons \text{ are active}} \Longrightarrow \frac{I_{mag.}}{I_{T.}} \approx 10^{-4} \left(\frac{Z_{mag.}}{Z}\right)^{2} \approx 10^{-6} \div 10^{-7}$$

#### de Bergevin e Brunel on NiO(1972)

•NiO e' un cristallo cubico antiferromagnetico (T<sub>Neel</sub>=250 °C)
•Gli ioni Ni<sup>++</sup> hanno due soli spin accoppiati
•Gli spin sono allineati magneticamente nel piano (111)
•Ed antiferromagneticamente tra i piani (111)



Figure 10: Panel a: Superlattice magnetic reflection (3/2, 3/2, 3/2) of NiO measured in magnetic phase  $(25^{\circ})$ , and in the paramagnetic phase. The disappearance of the peak shows its magnetic origin. Panel b: The magnetic reflection (3/2, 3/2, 3/2) of NiO measured today at a third generation synchrotron radiation facility.

# Matter ←Interaction → Radiation II

**Semi-Classical approach** 

Radiation: Electromagnetic waves described by Maxwell equations

#### Matter:

Quantum system obeying Schrodinger equation (oscillators,...)



#### **Semiclassical approach: the radiation**

One vector is enough to describe e.m. radiation



$$\vec{\mathbf{E}} = -\frac{1}{c} \frac{\partial \vec{\mathbf{A}}}{\partial t} - \mathbf{gradV}$$
$$\vec{\mathbf{B}} = \mathbf{rot} \vec{\mathbf{A}}$$

$$\nabla^{2} \mathbf{V} = \rho$$
$$-\nabla^{2} \vec{\mathbf{A}} = \frac{\mu}{c} \vec{\mathbf{j}}$$

$$\nabla^{2}\mathbf{V} + \frac{1}{\mathbf{c}^{2}}\frac{\partial^{2}\mathbf{V}}{\partial \mathbf{t}^{2}} = \rho$$
$$-\nabla^{2}\vec{\mathbf{A}} + \frac{1}{\mathbf{c}^{2}}\frac{\partial^{2}\vec{\mathbf{A}}}{\partial \mathbf{t}^{2}} = \frac{\mu}{\mathbf{c}}\vec{\mathbf{j}}$$

$$\nabla^{2} \vec{\mathbf{A}} - \frac{1}{\mathbf{c}^{2}} \frac{\partial^{2} \vec{\mathbf{A}}}{\partial \mathbf{t}^{2}} = \mathbf{0}$$

### **Semiclassical approach: the radiation**

$$\vec{\mathbf{A}} = \vec{\mathbf{A}}_{\vec{k}} \mathbf{e}^{i(\vec{k}\vec{r}-\omega t)}$$

$$\vec{\mathbf{E}} = -\frac{1}{c} \frac{\partial \vec{\mathbf{A}}}{\partial t} - \text{gradV}$$
$$\vec{\mathbf{B}} = \text{rot} \vec{\mathbf{A}}$$

$$\vec{\mathbf{E}} = -\vec{\mathbf{A}}_{k} \frac{\mathbf{i}\omega}{\mathbf{c}} \mathbf{e}^{i(\vec{k}\vec{r}-\omega t)}$$
$$\vec{\mathbf{B}} = \vec{\mathbf{k}} \times \vec{\mathbf{A}}_{k} \mathbf{e}^{i(\vec{k}\vec{r}-\omega t)}$$

**Semiclassical approach: the matter** 

#### Matter: Quantum system

The system is characterized by its Hamiltonian  $H_0$ and by its eigenfunctions  $\psi_n$  and energy eigenvalues  $E_n$ obtained by solving the Schrodinger equation

$$\mathbf{\hat{H}}_{0}\boldsymbol{\psi}_{n}=\boldsymbol{E}_{n}\boldsymbol{\psi}_{n}$$

$$\left(\frac{\mathbf{\hat{p}}^{2}}{2\mathbf{m}} + \mathbf{V}\right)\psi_{n} = E_{n}\psi_{n}$$

#### **Interaction Hamiltonian**

$$\hat{\mathbf{p}} \rightarrow \left( \hat{\mathbf{p}} - \frac{\mathbf{e}}{\mathbf{c}} \vec{\mathbf{A}} \right)$$

$$\hat{\mathbf{H}} = \frac{1}{2m} \left( \hat{\mathbf{p}} - \frac{\mathbf{e}}{\mathbf{c}} \vec{\mathbf{A}} \right)^2 + \mathbf{V} = \\ \left( \frac{\hat{\mathbf{p}}^2}{2m} - \frac{\mathbf{e}}{mc} \vec{\mathbf{A}} \hat{\mathbf{p}} + \frac{\mathbf{e}^2}{2mc^2} \mathbf{A}^2 \right) + \mathbf{V} \\ \hat{\mathbf{H}}_0 - \frac{\mathbf{e}}{mc} \vec{\mathbf{A}} \hat{\mathbf{p}} + \frac{\mathbf{e}^2}{2mc^2} \mathbf{A}^2 = \hat{\mathbf{H}}_0 + \hat{\mathbf{H}}_{int}$$

#### **Perturbation Hamiltonian**



### Fermi Golden rule

The perturbation due to the e.m. field induce transitions from the ground state  $\psi_i$  to excited states  $\psi_f$  with a probability per unit time given by

$$\Gamma_{if} = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 \delta(\mathbf{E}_f - \mathbf{E}_i) = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 \mathbf{g}(\mathbf{E}_f)$$

$$\mathbf{M}_{if} = \left\langle \boldsymbol{\psi}_{f} \left| \widehat{\mathbf{H}}_{int.} \right| \boldsymbol{\psi}_{i} \right\rangle + \sum_{n} \frac{\left\langle \boldsymbol{\psi}_{f} \left| \widehat{\mathbf{H}}_{int.} \right| \boldsymbol{\psi}_{n} \right\rangle \left\langle \boldsymbol{\psi}_{n} \left| \widehat{\mathbf{H}}_{int.} \right| \boldsymbol{\psi}_{i} \right\rangle}{\mathbf{E}_{i} - \mathbf{E}_{n} \pm \hbar \omega + i\epsilon}$$

# Absorption

$$\hat{\mathbf{H}}_{int} = -\frac{\mathbf{e}}{\mathbf{mc}} \vec{\mathbf{A}} \hat{\mathbf{p}} + \frac{\mathbf{e}^2}{\mathbf{mc}^2} \vec{\mathbf{A}}^2 + \frac{\mathbf{A}^2}{\mathbf{mc}^2} \vec{\mathbf{A}}^2 + \frac{\mathbf{A}^2}{\hbar} |\mathbf{M}_{if}|^2 \mathbf{g}(\mathbf{E}_f)$$

$$\mathbf{M}_{_{\mathrm{if}}} = \left\langle \boldsymbol{\psi}_{_{\mathrm{f}}} \middle| \widehat{\mathbf{H}}_{_{\mathrm{int.}}} \middle| \boldsymbol{\psi}_{_{\mathrm{i}}} \right\rangle + \sum_{_{n}} \frac{\left\langle \boldsymbol{\psi}_{_{\mathrm{f}}} \middle| \widehat{\mathbf{H}}_{_{\underline{\mathrm{int.}}}} \middle| \boldsymbol{\psi}_{_{n}} \right\rangle \left\langle \boldsymbol{\psi}_{_{n}} \middle| \widehat{\mathbf{H}}_{_{\underline{\mathrm{int.}}}} \middle| \boldsymbol{\psi}_{_{\mathrm{i}}} \right\rangle}{\dots E_{_{\mathrm{i}}}^{*} - E_{_{n}}^{*} \pm \hbar \boldsymbol{\omega} + i \epsilon...}$$

$$\mathbf{w}_{if} = \frac{2\pi}{\hbar} \left( \frac{\mathbf{e} \ \mathbf{A}_{k}}{\mathbf{m} \ \mathbf{c}} \right)^{2} \left| \left\langle \psi_{f} \left| e^{i\vec{k}\vec{r}} \left( \hat{\mathbf{e}}_{k} \bullet \hat{\mathbf{p}} \right) \right| \psi_{i} \right\rangle \right|^{2} \delta(\mathbf{E}_{f} - \mathbf{E}_{i} - \hbar\omega)$$

$$\mathbf{w}_{if} = \frac{2\pi}{\hbar} \left(\frac{\mathbf{e} \mathbf{E}_{k}}{\mathbf{m} \boldsymbol{\omega}}\right)^{2} \left| \left\langle \boldsymbol{\psi}_{f} \left| \mathbf{e}^{i\vec{k}\vec{r}} \left( \hat{\mathbf{e}}_{k} \bullet \hat{\mathbf{p}} \right) \right| \boldsymbol{\psi}_{i} \right\rangle \right|^{2} \delta(\mathbf{E}_{f} - \mathbf{E}_{i} - \hbar \boldsymbol{\omega})$$

# **Absorption Coefficient**

$$\mathbf{I} = \mathbf{I}_0 \mathbf{e}^{-\mu \mathbf{x}} \Longrightarrow \mu = -\frac{1}{\mathbf{I}} \frac{\mathbf{d}\mathbf{I}}{\mathbf{d}\mathbf{x}}$$

$$=\frac{1}{2\pi c}\omega^2 A_0^2 \qquad dI = \sum w_{fi}\hbar\omega Ndx$$

Ι

$$\mu = \frac{4\pi^2 \hbar \alpha}{m^2 \omega} \sum_{f} \left| \left\langle \psi_{f} \left| e^{i\vec{k}\vec{r}} \left( \hat{e}_{k} \bullet \hat{p} \right) \right| \psi_{i} \right\rangle \right|^2 \delta(E_{f} - E_{i} - \hbar \omega)$$

$$\alpha = \frac{e^2}{\hbar c} \cong \frac{1}{137}$$

# **Absorption Coefficient: dipole approximation**

$$\mu = \frac{4\pi^2 \hbar \alpha}{m^2 \omega} \sum_{f} \left| \left\langle \Psi_{f} \left| e^{i\vec{k}\vec{r}} \left( \hat{e}_{k} \bullet \hat{p} \right) \right| \Psi_{i} \right\rangle \right|^2 \delta(E_{f} - E_{i} - \hbar \omega)$$

$$e^{i\vec{k}\vec{r}}\cong 1+\vec{k}\vec{r}$$

$$\mu = \frac{4\pi^2 \hbar \alpha}{m^2 \omega} \sum_{f} \left| \left\langle \psi_{f} \left| \left( \mathbf{\hat{e}}_{k} \bullet \mathbf{\hat{p}} \right) \right| \psi_{i} \right\rangle \right|^2 \delta(\mathbf{E}_{f} - \mathbf{E}_{i} - \hbar \omega)$$

**Optical transitions:**  $\lambda \approx 5000 \text{ \AA} \rightarrow \text{always valid}$ 

In the case of X-ray, the wavelength is few Å, i.e. of the same order as the extensions of the atomic orbitals

In general the core states **Spatial** extension reduces as 1/Z with increasing the Z number of the atom with respect to the hydrogen orbitals

the energy of the absorption edges increases as  $Z^2$  and the wavelength of the radiation needed to excite a core level decreases as  $1/Z^2$ 



Therefore for high Z elements, deviations from the dipole approximations must be expected and must be taken into account.

# **Absorption Coefficient: electric dipole**

$$\langle \boldsymbol{\psi}_{f} \left| \hat{\boldsymbol{p}} \right| \boldsymbol{\psi}_{i} \rangle = \langle \boldsymbol{\psi}_{f} \left| \boldsymbol{m} \, \hat{\boldsymbol{r}} \right| \boldsymbol{\psi}_{i} \rangle = \frac{im}{\hbar} \langle \boldsymbol{\psi}_{f} \left| \left[ \hat{\boldsymbol{H}} \, \hat{\boldsymbol{r}} - \hat{\boldsymbol{r}} \, \hat{\boldsymbol{H}} \right] \right] \boldsymbol{\psi}_{i} \rangle = \frac{im(\boldsymbol{E}_{f} - \boldsymbol{E}_{i})}{\hbar} \langle \boldsymbol{\psi}_{f} \left| \hat{\boldsymbol{r}} \right| \boldsymbol{\psi}_{i} \rangle = im \omega \langle \boldsymbol{\psi}_{f} \left| \hat{\boldsymbol{r}} \right| \boldsymbol{\psi}_{i} \rangle$$

$$\mu = 4\pi^2 \hbar \omega \alpha \sum_{f} \left| \left\langle \psi_{f} \left| \left( \hat{\mathbf{e}}_{k} \bullet \hat{\mathbf{r}} \right) \right| \psi_{i} \right\rangle \right|^2 \delta(\mathbf{E}_{f} - \mathbf{E}_{i} - \hbar \omega)$$

$$\mu = 4\pi^2 \hbar \omega \alpha \left\| \left\langle \psi_{\mathbf{f}} \left| \left( \mathbf{\hat{e}}_{\mathbf{k}} \bullet \mathbf{\hat{r}} \right) \right| \psi_{\mathbf{i}} \right\rangle \right\|^2 D(\mathbf{E}_{\mathbf{f}})$$

$$D(E_{f}) = Density of states$$

# Scattering

The full elastic and inelastic scattering cross section

In particular: o the anomalous scattering o the resonant scattering o additional scattering arising from the magnetic interaction between the electromagnetic field and the electrons

Full quantum approach is needed, in which:

• the matter is treated as a quantum system

• the electromagnetic field as a ensamble of photons

# The electromagnetic field and its quantum states

$$\mathbf{A} = \sum_{\vec{k},\lambda} \mathbf{\hat{e}}_{\vec{k},\lambda} \sqrt{\frac{2 \pi \hbar \mathbf{e}^2}{\mathbf{L}^3 \boldsymbol{\omega}_k}} \left( \mathbf{\hat{a}}_{\vec{k},\lambda} \mathbf{e}^{i\vec{k}\vec{r}} + \mathbf{\hat{a}}_{\vec{k},\lambda}^+ \mathbf{e}^{-i\vec{k}\vec{r}} \right)$$

$$\left| \mathbf{n}_{_{\vec{k},\lambda}},...,\mathbf{n}_{_{\vec{k}',\lambda,}} 
ight
angle = \left\langle \mathbf{m}_{_{\vec{k},\lambda}},...,\mathbf{m}_{_{\vec{k}',\lambda,}} \left| \mathbf{\hat{O}} \right| \mathbf{n}_{_{\vec{k},\lambda}},...,\mathbf{n}_{_{\vec{k}',\lambda,}} 
ight
angle$$

$$\left\langle \mathbf{n}_{\vec{k},\lambda} + \mathbf{1},\ldots,\mathbf{n}_{\vec{k}',\lambda}, \left| \mathbf{\hat{a}}_{\mathbf{k},\lambda}^{+} \right| \mathbf{n}_{\vec{k},\lambda},\ldots,\mathbf{n}_{\vec{k}',\lambda} \right\rangle \neq \mathbf{0}$$

$$\left\langle n_{\vec{k},\lambda} - 1,...,n_{\vec{k}',\lambda} \right| \hat{a}_{k,\lambda} \left| n_{\vec{k},\lambda},...,n_{\vec{k}',\lambda} \right\rangle \neq 0$$

# **Interactions in quantum approach**

$$\hat{\mathbf{H}}_{1} = -\frac{\mathbf{e}}{\mathbf{mc}}\mathbf{A} \bullet \hat{\mathbf{p}} = -\frac{\mathbf{e}}{\mathbf{mc}}\sum_{\vec{k},\lambda} \hat{\mathbf{e}}_{\vec{k},\lambda} \sqrt{\frac{2\pi\hbar c^{2}}{L^{3}\omega_{k}}} \left( \hat{\mathbf{a}}_{\vec{k},\lambda} \mathbf{e}^{i\vec{k}\vec{r}} + \hat{\mathbf{a}}_{\vec{k},\lambda}^{+} \mathbf{e}^{-i\vec{k}\vec{r}} \right) \bullet \hat{\mathbf{p}}$$

$$\hat{\mathbf{H}}_{2} = \frac{\mathbf{e}^{2}}{2\mathbf{mc}^{2}} \mathbf{A}^{2} = \frac{\mathbf{e}^{2}}{2\mathbf{mc}^{2}} \sum_{\vec{k},\lambda;\vec{k}'\lambda'} \sqrt{\frac{2\pi\hbar c^{2}}{\mathbf{L}^{3}\boldsymbol{\omega}_{k}}} \sqrt{\frac{2\pi\hbar c^{2}}{\mathbf{L}^{3}\boldsymbol{\omega}_{k'}}} \hat{\mathbf{e}}_{\vec{k},\lambda} \cdot \hat{\mathbf{e}}_{\vec{k}',\lambda''}$$

$$\left( \hat{\mathbf{a}}_{\vec{k},\lambda} \hat{\mathbf{a}}_{\vec{k}',\lambda'} \mathbf{e}^{i(\vec{k}+\vec{k}')\vec{r}} + \hat{\mathbf{a}}_{\vec{k},\lambda}^{+} \hat{\mathbf{a}}_{\vec{k}',\lambda'} \mathbf{e}^{-i(\vec{k}+\vec{k}')\vec{r}} + \hat{\mathbf{a}}_{\vec{k},\lambda} \hat{\mathbf{a}}_{\vec{k}',\lambda'}^{+} \mathbf{e}^{i(\vec{k}-\vec{k}')\vec{r}} + \hat{\mathbf{a}}_{\vec{k},\lambda}^{+} \hat{\mathbf{a}}_{\vec{k},\lambda'}^{+} \mathbf{e}^{i(\vec{k}-\vec{k}')\vec{r}} + \hat{\mathbf{a}}_{\vec{k},\lambda}^{+} \hat{\mathbf{a}}_{\vec{k}',\lambda'}^{+} \mathbf{e}^{i(\vec{k}-\vec{k}')\vec{r}} + \hat{\mathbf{a}}_{\vec{k},\lambda}^{+} \hat{\mathbf{a}}_{\vec{k}',\lambda'}^{+} \mathbf{e}^{i(\vec{k}-\vec{k}')\vec{r}} \right)$$

## Fermi Golden Rule

The perturbation induces transitions between initial and Final states with a propability w<sub>if</sub>

$$\Gamma_{if} = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 \,\delta(\mathbf{E}_f - \mathbf{E}_i) = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 \,\mathbf{g}(\mathbf{E}_f)$$

$$\mathbf{M}_{if} = \langle \mathbf{f} | \widehat{\mathbf{H}}_{int.} | \mathbf{i} \rangle + \sum_{n} \frac{\langle \mathbf{f} | \widehat{\mathbf{H}}_{int.} | \mathbf{n} \rangle \langle \mathbf{n} | \widehat{\mathbf{H}}_{int.} | \mathbf{i} \rangle}{\mathbf{E}_{i} - \mathbf{E}_{n} + \mathbf{i} \varepsilon}$$

$$|\mathbf{m}\rangle = |\psi\rangle_{el.} |\mathbf{n}_1\mathbf{n}_2...,\mathbf{n}_{\vec{k}}...\rangle_{photons}$$

## **Scattering - I**

Scattering involves two photons: one is removed from the initial state k<sub>i</sub> The second is created in the final state k<sub>f</sub>

$$\begin{aligned} |\mathbf{i}\rangle &= |\psi_{\mathbf{i}}\rangle_{\text{el.}} |..., \mathbf{n}_{\vec{k} \text{ in}} ..., \mathbf{0}_{\vec{k} \text{ out}} ,...\rangle_{\text{fotoni}} \\ |\mathbf{f}\rangle &= |\psi_{\mathbf{f}}\rangle_{\text{el.}} |..., \mathbf{n}_{\vec{k} \text{ in}} - 1, ..., \mathbf{1}_{\vec{k} \text{ out}} ,...\rangle_{\text{fotoni}} \end{aligned}$$

Such transitions are due to:
1. terms in A<sup>2</sup> in the first order (Thompson and Compton scattering)
2. terms in A•p in the second order (Anomalous and resonant scattering)

# **Elastic and inelastic scattering**

I order perturbation theory for the term  $\sim A^2$ 

$$\begin{aligned} |\mathbf{i}\rangle &= |\psi_{i}\rangle_{el.} |..., n_{\vec{k} in} ..., 0_{\vec{k} out} ,...\rangle_{photons} \\ |\mathbf{f}\rangle &= |\psi_{f}\rangle_{el.} |..., n_{\vec{k} in} -1, ..., 1_{\vec{k} out} ,...\rangle_{photons} \end{aligned}$$

# **Scattering - III**

$$\begin{split} \mathbf{M}_{i\mathbf{f}} = & \left(\frac{\mathbf{e}^{2}}{2\mathbf{m}\mathbf{c}^{2}}\right) \sqrt{\left(\frac{2\pi\hbar\mathbf{c}^{2}}{\mathbf{V}\boldsymbol{\omega}_{\mathbf{k}_{in}}}\right)} \sqrt{\left(\frac{2\pi\hbar\mathbf{c}^{2}}{\mathbf{V}\boldsymbol{\omega}_{\mathbf{k}_{out}}}\right)} \\ & \left\{ \sum_{\vec{k},\lambda} \sum_{\vec{k},\lambda} \left\langle \mathbf{f} \left| \left(\hat{\mathbf{e}}_{\vec{k},\lambda} \bullet \hat{\mathbf{e}}_{\vec{k},\lambda\lambda}\right) \mathbf{e}^{i\left(\vec{k}_{i}-\vec{k}_{o}\right)\vec{r}} \hat{\mathbf{a}}_{\vec{k},\lambda} \hat{\mathbf{a}}_{\vec{k},\lambda\lambda}^{+} + \left(\hat{\mathbf{e}}_{\vec{k},\lambda} \bullet \hat{\mathbf{e}}_{\vec{k},\lambda\lambda}\right) \mathbf{e}^{i\left(-\vec{k}_{i}+\vec{k}_{o}\right)\vec{r}} \hat{\mathbf{a}}_{\vec{k},\lambda\lambda}^{+} \mathbf{i} \right\rangle \right\} \end{split}$$

$$\begin{split} \mathbf{M}_{if} &= \left(\frac{e^{2}}{2mc^{2}}\right) \sqrt{\left(\frac{2\pi\hbar c^{2}}{V\omega_{k_{u}}}\right)} \sqrt{\left(\frac{2\pi\hbar c^{2}}{V\omega_{k_{u}}}\right)} \mathbf{r_{0}} = e^{2}/mc^{2} = 2.8179 \ 10^{-13} \ cm \\ \left\{ \left\langle f \left| \left( \hat{\mathbf{e}}_{\bar{\mathbf{k}},\lambda} \cdot \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \right) e^{i\left( \bar{\mathbf{k}}_{u} - \bar{\mathbf{k}}_{u} \right) \bar{\mathbf{r}}} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} + \left( \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \cdot \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \right) e^{i\left( - \bar{\mathbf{k}}_{uu} + \bar{\mathbf{k}}_{u} \right) \bar{\mathbf{r}}} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} + \left( \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \cdot \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \right) e^{i\left( - \bar{\mathbf{k}}_{uu} + \bar{\mathbf{k}}_{u} \right) \bar{\mathbf{r}}} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} + \left( \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \cdot \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda} \right) e^{i\left( - \bar{\mathbf{k}}_{uu} + \bar{\mathbf{k}}_{u} \right) \bar{\mathbf{r}}} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} \hat{\mathbf{a}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} | \mathbf{i} \right\rangle \right\} = \\ &= \left( \frac{e^{2}}{2mc^{2}} \right) \sqrt{\left( \frac{2\pi\hbar c^{2}}{V\omega_{k_{u}}} \right)} \sqrt{\left( \frac{2\pi\hbar c^{2}}{V\omega_{k_{u}}} \right)} \left( \hat{\mathbf{e}}_{\bar{\mathbf{k}},\lambda} \cdot \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} \right) \sqrt{n_{\bar{\mathbf{k}}_{u}}^{-}} \left\langle \psi_{f} \left| 2e^{-i\tilde{\mathbf{q}}\bar{\mathbf{r}}} \right| \psi_{i} \right\rangle} \\ &= r_{0} \left( \frac{2\pi\hbar c^{2}}{V} \right) \sqrt{\frac{1}{\omega_{k_{u}}\omega_{k_{u}}}} \left( \hat{\mathbf{e}}_{\bar{\mathbf{k}},\lambda} \cdot \hat{\mathbf{e}}_{\bar{\mathbf{k}}_{u},\lambda\lambda}^{+} \right) \sqrt{n_{\bar{\mathbf{k}}_{u}}^{-}} \left\langle \psi_{f} \left| e^{-i\tilde{\mathbf{q}}\bar{\mathbf{r}}} \right| \psi_{i} \right\rangle \end{aligned}$$

# **Scattering - IV**

Cross section 
$$\rightarrow$$
  

$$\frac{d^{2}\sigma}{d\Omega dE_{k}} = \frac{\sum_{f} \Gamma_{if}}{n_{\vec{k}_{in}} c}$$
Pensity of states
$$g(E_{k}) = \frac{dN}{dE_{k}} = \frac{V}{(2\pi)^{3}} \frac{\omega_{\vec{k}_{out}}}{\hbar c^{3}} d\Omega$$

$$\mathbf{w}_{if} = \frac{2\pi}{\hbar} |\mathbf{M}_{if}|^2 \mathbf{g}(\mathbf{E}_{f}) = \mathbf{r}_0^2 \left(\frac{\mathbf{c}}{\mathbf{V}}\right) \mathbf{n}_{\vec{k}_{in}} \frac{\mathbf{\omega}_{\mathbf{k}_{out}}}{\mathbf{\omega}_{\mathbf{k}_{in}}} \left(\mathbf{\hat{e}}_{\vec{k}_{i},\lambda} \bullet \mathbf{\hat{e}}_{\vec{k}_{out},\lambda\lambda}\right)^2 \left| \langle \mathbf{\psi}_i \left| \mathbf{e}^{-i\mathbf{q}\mathbf{r}} \right| \mathbf{\psi}_f \right|^2$$

$$\frac{d^{2}\sigma}{dEd\Omega} = \Sigma_{f} r_{0}^{2} \left( \hat{e}_{\vec{k}_{i},\lambda} \bullet \hat{e}_{\vec{k}_{f},\lambda'} \right)^{2} \frac{\omega_{k_{out}}}{\omega_{k_{in}}} \left| \left\langle \psi_{i} \left| e^{-i\vec{q}\vec{r}} \right| \psi_{f} \right\rangle \right|^{2}$$

# Scattering Cross Section (non relativistic)

$$\frac{d^{2}\sigma}{d\Omega dE_{k}} = \sum_{f} r_{0}^{2} \left( \mathbf{\hat{e}}_{\vec{k}_{i},\lambda} \bullet \mathbf{\hat{e}}_{\vec{k}_{out},\lambda\lambda} \right)^{2} \frac{\omega_{k_{out}}}{\omega_{k_{in}}} \sum_{f} \left| \left\langle \psi_{i} \left| e^{-i\vec{q}\vec{r}} \right| \psi_{f} \right\rangle \right|^{2}$$

# **Elastic scattering**

$$\frac{d^{2}\sigma}{d\Omega dE_{k}} = \sum_{f} r_{0}^{2} \left( \hat{e}_{\vec{k}_{i},\lambda} \bullet \hat{e}_{\vec{k}_{out},\lambda'} \right)^{2} \frac{\omega_{k_{out}}}{\omega_{k_{in}}} \sum_{f} \left| \langle \psi_{i} \left| e^{-i\vec{q}\vec{r}} \right| \psi_{f} \rangle \right|^{2}$$

**Elastic scattering** 
$$\rightarrow \omega_{k'} = \omega_k$$

# Final electronic state equal to their initial one

$$\frac{d\sigma}{d\Omega} = r_0^2 \left( \mathbf{\hat{e}}_{\vec{k}_i,\lambda} \bullet \mathbf{\hat{e}}_{\vec{k}_{out},\lambda'} \right)^2 \left| \left\langle \psi_i \left| e^{-i\vec{q}\vec{r}} \right| \psi_i \right\rangle \right|^2$$

# **Inelastic Scattering at very high energy**

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{in.}} = r_e^2 \left(\hat{e}_{in} \bullet \hat{e}_{out}\right)^2 \sum_{m \neq n} \left|\left\langle \psi_m \left| e^{i\vec{q}\vec{r}} \right| \psi_n \right\rangle\right|^2 \left(\frac{\omega}{\omega_0}\right)$$

$$\begin{split} \sum_{m \,\# n} \left| \left\langle \psi_{n} \left| e^{i \vec{q} \vec{r}} \left| \psi_{n} \right\rangle \right|^{2} &= \sum_{m \,\# n} \left\langle \psi_{n} \left| e^{i \vec{q} \vec{r}} \left| \psi_{m} \right\rangle \right\rangle \left\langle \psi_{m} \left| e^{-i \vec{q} \vec{r}} \left| \psi_{n} \right\rangle \right\rangle = \\ \left\langle \psi_{n} \left| e^{i \vec{q} \vec{r}} \left( \sum_{m \,\# n} \left| \psi_{m} \right\rangle \right\rangle \left\langle \psi_{m} \left| \right) \right|^{2} e^{-i \vec{q} \vec{r}} \left| \psi_{n} \right\rangle = 1 - \left| \left\langle \psi_{n} \left| e^{i \vec{q} \vec{r}} \left| \psi_{n} \right\rangle \right|^{2} = \\ 1 - \left| f(\vec{q}) \right|^{2} \end{split}$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{in.}} = r_e^2 \left(\hat{e}_{\text{in}} \bullet \hat{e}_{\text{out}}\right)^2 \left(1 - \left|f(\vec{q})\right|^2\right)$$

# **Inelastic Scattering at very high energy**

$$\left(\frac{d\sigma}{d\Omega}\right)_{in} \cong \mathbf{r}_{e}^{2}\left(\mathbf{\hat{e}}_{in} \bullet \mathbf{\hat{e}}_{out}\right)^{2}\left(\mathbf{1} - \left|\mathbf{f}\left(\mathbf{\vec{q}}\right)\right|^{2}\right)$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{el.} + \left(\frac{d\sigma}{d\Omega}\right)_{in.} \cong \mathbf{r}_{e}^{2} \left(\mathbf{\hat{e}}_{in} \bullet \mathbf{\hat{e}}_{out}\right)^{2}$$

#### The sum of the elastic and inelastic cross sections is equal to the Classical cross section of a free electron

$$\begin{split} & \hat{\mathbf{H}}_{1} = -\frac{e}{mc} \mathbf{A} \bullet \hat{\mathbf{p}} = -\frac{e}{mc} \sum_{\mathbf{k}, \lambda} \hat{\mathbf{e}}_{\mathbf{k}, \lambda} \sqrt{\frac{2\pi\hbar c^{2}}{V\omega_{\mathbf{k}}}} \left( \hat{\mathbf{a}}_{\mathbf{k}, \lambda} e^{i\mathbf{k}\cdot\mathbf{r}} + \hat{\mathbf{a}}_{\mathbf{k}, \lambda}^{+} e^{-i\mathbf{k}\cdot\mathbf{r}} \right) \bullet \hat{\mathbf{p}} \\ & \mathbf{M}_{if} = \langle \mathbf{f} \mid \widehat{\mathbf{H}}_{int} \mid \mathbf{i} \rangle + \sum_{n} \frac{\langle \mathbf{f} \mid \widehat{\mathbf{H}}_{int} \mid \mathbf{n} \rangle \langle \mathbf{n} \mid \widehat{\mathbf{H}}_{int} \mid \mathbf{i} \rangle}{\mathbf{E}_{i} - \mathbf{E}_{n} + \mathbf{i} \mathbf{\epsilon}} \\ & \mathbf{i} \rangle = |\psi_{i}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} ..., \mathbf{0}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{f} \rangle = |\psi_{i}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{1}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{0}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{0}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{0}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{0}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{0}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{n}_{\mathbf{k}in} - 1, ..., \mathbf{h}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{h}_{\mathbf{k}in} - 1, ..., \mathbf{h}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{h}_{\mathbf{k}in} - 1, ..., \mathbf{h}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{h}_{\mathbf{k}in} - 1, ..., \mathbf{h}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{h}_{\mathbf{k}in} - 1, ..., \mathbf{h}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | ..., \mathbf{h}_{\mathbf{k}in} - 1, ..., \mathbf{h}_{\mathbf{k}out} , ... \rangle_{fotoni} \\ & \mathbf{h}_{if} = |\psi_{a}\rangle_{el} | \mathbf{h}_{if} | \mathbf{h}_{if} - \mathbf{h}_{if} | \mathbf{h}_{if} | \mathbf{h}_{if} - \mathbf{h}_{if} | \mathbf{h$$

# **Elastic cross section**

$$\frac{\frac{d\sigma}{d\Omega} = r_0^2 \frac{1}{m^2}}{\sum_{n} \frac{\langle \Psi_i | e^{i\vec{k}_n \vec{r}} \hat{\mathbf{e}}_{\vec{k}_n \lambda} \bullet \hat{\mathbf{p}} | \Psi_n \rangle \langle \Psi_n | e^{-i\vec{k}_n \vec{r}} \hat{\mathbf{e}}_{\vec{k}_n \lambda} \bullet \hat{\mathbf{p}} | \Psi_i \rangle}{\varepsilon_i - \varepsilon_n + \hbar \omega} + \Big|^2$$

$$\sum_{n} \frac{\langle \Psi_i | e^{-i\vec{k}_n \vec{r}} \hat{\mathbf{e}}_{\vec{k}_n \lambda} \bullet \hat{\mathbf{p}} | \Psi_n \rangle \langle \Psi_n | e^{i\vec{k}_n \vec{r}} \hat{\mathbf{e}}_{\vec{k}_n \lambda} \bullet \hat{\mathbf{p}} | \Psi_i \rangle}{\varepsilon_i - \varepsilon_n - \hbar \omega} + \frac{d\sigma}{d\Omega} \cong r_0^2 \frac{1}{m^2}$$
At the resonance is 
$$\sum_{n} \frac{\langle \Psi_i | e^{i\vec{k}_n \vec{r}} \hat{\mathbf{e}}_{\vec{k}_n \lambda} \bullet \hat{\mathbf{p}} | \Psi_n \rangle \langle \Psi_n | e^{-i\vec{k}_n \vec{r}} \hat{\mathbf{e}}_{\vec{k}_n \lambda} \bullet \hat{\mathbf{p}} | \Psi_n \rangle}{\varepsilon_i - \varepsilon_n + \hbar \omega} + i\Gamma I2} \Big|^2$$

## **Total cross section at high energy**

At high energy the contribution becomes:

$$\mathbf{M}_{if} = -\mathbf{i}r_{0}\left(\frac{2\pi\hbar c^{2}}{V}\right)\left(\frac{\hbar\omega_{k}}{mc^{2}}\right)\langle\psi_{i}\left|e^{i\vec{q}\vec{r}}\frac{\mathbf{i}\vec{q}\times\mathbf{\hat{p}}}{\hbar k^{2}}\right|\psi_{i}\rangle\left(\widehat{e}_{k_{out}\lambda}\times\widehat{e}_{k_{in}\lambda}\right)$$

$$\begin{aligned} \frac{d\sigma}{d\Omega} &= \frac{\frac{2\pi}{\hbar} |\mathbf{M}_{if}^{A^{2}} + \mathbf{M}_{if}^{Ap}|^{2} g(\mathbf{E}_{f})}{\mathbf{n}_{\mathbf{k}_{in}} \mathbf{C} / \mathbf{V}} = \\ r_{0}^{2} \left| \left( \mathbf{\hat{e}}_{\mathbf{k}_{in}\lambda} \bullet \mathbf{\hat{e}}_{\mathbf{k}_{out}\lambda^{'}} \right) \langle \psi_{i} \left| \mathbf{e}^{-i\mathbf{q}\mathbf{r}} \right| \psi_{i} \rangle - i \left( \frac{\hbar\omega_{\mathbf{k}}}{\mathbf{mc}^{2}} \right) \langle \psi_{i} \left| \mathbf{e}^{i\mathbf{q}\mathbf{r}} \frac{\mathbf{i}\mathbf{q} \times \mathbf{\hat{p}}}{\hbar\mathbf{k}^{2}} \right| \psi_{i} \rangle \left( \mathbf{\hat{e}}_{\mathbf{k}_{out}\lambda^{'}} \times \mathbf{\hat{e}}_{\mathbf{k}_{in}\lambda} \right)^{2} \end{aligned}$$



$$E = -\vec{\mu}\vec{H}$$
$$\vec{F} = \operatorname{grad}\left(\vec{\mu}\vec{H}\right)$$

Is due to the variation of the energy for the non uniformity of the magnetic field of the radiation
# **Magnetic Interactions Magnetic dipole oscillations** μ



Due to the variation of the torque associated with the time dependance of the magnetic field of the radiation

#### **Strength of Magnetic Interactions**



$$\frac{\left|\vec{F}_{M2}\right|}{\left|\vec{F}_{T}\right|} = \frac{\left|\operatorname{grad}\left(\vec{\mu}\cdot\vec{H}\right)\right|}{\left|eE\right|} = \frac{\left|\operatorname{grad}\left(\vec{\mu}\cdot\vec{H}_{0}e^{i\vec{k}\vec{r}}\right)\right|}{eE_{0}} = \frac{k}{2} \frac{\mu}{\lambda} \left(\frac{e\hbar}{2m}\right) \frac{1}{2} \frac{H_{0}}{E_{0}} \approx \frac{\pi\hbar}{mc\lambda} = \frac{\hbar\omega}{2mc^{2}} \approx 10^{-2}$$

$$\frac{Only \text{ magnetic}}{Electrons \text{ are active}} \Longrightarrow \frac{I_{mag.}}{I_{T.}} \approx 10^{-4} \left(\frac{Z_{mag.}}{Z}\right)^{2} \approx 10^{-6} \div 10^{-7}$$

#### de Bergevin e Brunel on NiO(1972)

- •NiO is an antiferromagnetic cubic crystal (T<sub>Neel</sub>=250 <sup>0</sup>C) •Ni<sup>++</sup> have only two electrons
- Electron spin are ferro-magnetically aligned in (111) plane
  They are anti-ferromagnetically aligned between (111) planes



Figure 10: Panel a: Superlattice magnetic reflection (3/2, 3/2, 3/2) of NiO measured in magnetic phase  $(25^{\circ})$ , and in the paramagnetic phase. The disappearance of the peak shows its magnetic origin. Panel b: The magnetic reflection (3/2, 3/2, 3/2) of NiO measured today at a third generation synchrotron radiation facility.

#### Hamiltonian in the relativistic approximation

$$\begin{split} \hat{\mathbf{H}}_{tot} &= \hat{\mathbf{H}}_{el.} + \hat{\mathbf{H}}_{rad.} = \sum_{i} \left( \frac{\left( \hat{\mathbf{p}}_{i} - \frac{\mathbf{e}}{c} \vec{\mathbf{A}} \right)^{2}}{2m} + \mathbf{V}(\vec{\mathbf{r}}_{i}) \right) + \\ \sum_{i} \left( -\frac{\mathbf{e}\hbar}{\mathbf{mc}} \vec{\mathbf{s}}_{i} \bullet \mathbf{rot} \vec{\mathbf{A}} + \frac{\mathbf{e}\hbar}{2m^{2}c^{2}} \vec{\mathbf{s}}_{i} \bullet \frac{\partial \vec{\mathbf{A}}}{\partial t} \times \left( \hat{\mathbf{p}}_{i} - \frac{\mathbf{e}}{c} \vec{\mathbf{A}}(\vec{\mathbf{r}}_{i}) \right) \right) + \\ \sum_{\mathbf{k},\lambda} \hbar \omega_{\mathbf{k}\lambda} \left( \mathbf{a}_{\mathbf{k}\lambda}^{+} \mathbf{a}_{\mathbf{k}\lambda} + \frac{1}{2} \right) \end{split}$$

Interaction terms in the relativistic approximation

$$\mathbf{\hat{H}}_{1} = \frac{\mathbf{e}^{2}}{2\mathbf{m}\mathbf{c}^{2}}\sum_{i}\mathbf{A}^{2}(\mathbf{\vec{r}}_{i})$$

Ĥ<sub>3</sub>

$$\mathbf{\hat{H}}_{2} = \frac{\mathbf{e}}{\mathbf{mc}} \sum_{i} \vec{\mathbf{A}}(\vec{\mathbf{r}}_{i}) \bullet \mathbf{\hat{p}}_{i}$$

 $= -\frac{e\hbar}{mc} \sum_{i} \vec{s}_{i} \bullet rot \vec{A} \rightarrow Produces scattering (II order in P.T.)$ 

## **Relativistic approximation**

$$\hat{\mathbf{H}}_{1} = \frac{\mathbf{e}^{2}}{2\mathbf{m}\mathbf{c}^{2}}\sum_{i}\mathbf{A}^{2}(\vec{\mathbf{r}}_{i})$$

$$\mathbf{\hat{H}}_{2} = \frac{\mathbf{e}}{\mathbf{mc}} \sum_{i} \mathbf{\vec{A}}(\mathbf{\vec{r}}_{i}) \bullet \mathbf{\hat{p}}_{i}$$

$$\hat{\mathbf{H}}_{3} = -\frac{\mathbf{e}\hbar}{\mathbf{mc}}\sum_{i}\vec{\mathbf{s}}_{i} \bullet \mathbf{rot}\vec{\mathbf{A}} \qquad \mathbf{\dot{\mathbf{H}}}$$

$$\hat{\mathbf{H}}_{4} \cong \frac{e\hbar}{2m^{2}c^{3}} \left(-\frac{e}{c}\right) \sum_{i} \vec{\mathbf{s}}_{i} \bullet \frac{\partial \vec{\mathbf{A}}}{\partial t} \times \vec{\mathbf{A}}(\vec{\mathbf{r}}_{i})$$

$$\Gamma_{if} = \frac{2\pi}{\hbar} \left| \langle f | \hat{H}_1 + \hat{H}_4 | i \rangle + \sum_{n} \frac{\langle f | \hat{H}_2 + \hat{H}_3 | n \rangle \langle n | \hat{H}_2 + \hat{H}_3 | i \rangle}{E_i - E_n + i\epsilon} \right|^2 \delta(E_i - E_f)$$



## **Scattering from I order perturbation**

$$\mathbf{M}_{if}^{\mathrm{I}} = \frac{2\pi\hbar c^{2}}{V\omega} \mathbf{r}_{0}$$

$$\left(\sum_{i} \langle \boldsymbol{\psi}_{i} | e^{-i\vec{q}\cdot\vec{r}_{i}} | \boldsymbol{\psi}_{i} \rangle (\mathbf{\hat{e}}_{\mathbf{k}_{out}\lambda}^{*} \bullet \mathbf{\hat{e}}_{\mathbf{k}_{in}\lambda}) - i \left(\frac{\hbar\omega_{\mathbf{k}}}{mc^{2}}\right) \sum_{i} \langle \boldsymbol{\psi}_{i} | e^{-i\vec{q}\cdot\vec{r}_{i}} \vec{\mathbf{s}}_{i} | \boldsymbol{\psi}_{i} \rangle \bullet (\mathbf{\hat{e}}_{\mathbf{k}_{out}\lambda}^{*} \times \mathbf{\hat{e}}_{\mathbf{k}_{in}\lambda}) \right)$$

## **Contribution of H<sub>2</sub> and H<sub>3</sub>**

$$\mathbf{M}_{_{\mathbf{I}}}^{\mathbf{II}} = \left| \langle \mathbf{f} \left| \widehat{\mathbf{H}}_{1} + \widehat{\mathbf{H}}_{4} \right| \mathbf{i} \rangle + \sum_{\mathbf{n}} \frac{\langle \mathbf{f} \left| \widehat{\mathbf{H}}_{2} + \widehat{\mathbf{H}}_{3} \right| \mathbf{n} \rangle \langle \mathbf{n} \left| \widehat{\mathbf{H}}_{2} + \widehat{\mathbf{H}}_{3} \right| \mathbf{i} \rangle \right|^{2}}{\mathbf{E}_{\mathbf{i}} - \mathbf{E}_{\mathbf{n}} + \mathbf{i} \mathbf{\epsilon}} \right|^{2}$$

$$\mathbf{\hat{e}}_{_{\vec{k}\lambda}} \bullet \mathbf{\hat{p}}_{_{i}} \to -\hbar\vec{s}_{_{i}} \bullet \left(\vec{k} \times \mathbf{\hat{e}}_{_{\vec{k}\lambda}}\right)$$

#### **Resonant term at high energy**

#### After some hours of a tedious calculation we get:



## Total contribution at high energy from the I order term in A

(II order perturbation theory)

$$\begin{split} \mathbf{M}_{if} &= -\mathbf{i} \left( \frac{\hbar \omega_{k}}{\mathbf{m} \mathbf{c}^{2}} \right) \mathbf{r}_{0} \left( \frac{2\pi\hbar \mathbf{c}^{2}}{\mathbf{V} \omega_{k}} \right) \\ &\sum_{i} \langle \boldsymbol{\psi}_{i} \left| \mathbf{e}^{i \vec{q} \vec{r}} \frac{\mathbf{i} \vec{q} \times \mathbf{\hat{p}}}{\hbar \mathbf{k}^{2}} \right| \boldsymbol{\psi}_{i} \rangle \left( \widehat{\mathbf{e}}_{\mathbf{k}_{out} \lambda^{'}} \times \widehat{\mathbf{e}}_{\mathbf{k}_{in} \lambda} \right) + \sum_{i} \langle \boldsymbol{\psi}_{i} \left| \mathbf{e}^{i \vec{q} \vec{r}} \vec{\mathbf{s}}_{i} \right| \boldsymbol{\psi}_{i} \rangle \times \\ &\times \left\{ \left( \mathbf{\hat{k}}_{out} \times \mathbf{\hat{e}}_{\mathbf{k}_{out} \lambda^{'}}^{*} \right) \mathbf{\hat{k}}_{out} \bullet \mathbf{\hat{e}}_{\mathbf{k}_{in} \lambda} - \left( \mathbf{\hat{k}}_{in} \times \mathbf{\hat{e}}_{\mathbf{k}_{in} \lambda} \right) \mathbf{\hat{k}}_{in} \bullet \mathbf{\hat{e}}_{\mathbf{k}_{out} \lambda^{'}}^{*} - \left( \mathbf{\hat{k}}_{out} \times \mathbf{\hat{e}}_{\mathbf{k}_{out} \lambda^{'}}^{*} \right) \times \left( \mathbf{\hat{k}}_{in} \times \mathbf{\hat{e}}_{\mathbf{k}_{in} \lambda} \right) \right\} \end{split}$$

## **Total cross section at high energy**

$$\frac{d\sigma}{d\Omega} = \frac{\frac{2\pi}{\hbar} |M_{if}^{totale}|^2 g(E_f)}{\frac{n_k c}{V}}$$

$$\sum_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} | \psi_{i} \rangle \langle \hat{\mathbf{e}}_{\mathbf{k}_{out}\lambda'} \cdot \hat{\mathbf{e}}_{\mathbf{k}_{in}\lambda} \rangle - i \left( \frac{\hbar \omega_{\mathbf{k}}}{\mathbf{mc}^{2}} \right) \{ \sum_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} \frac{i\vec{q} \times \hat{\mathbf{p}}}{\hbar \mathbf{k}^{2}} | \psi_{i} \rangle \langle \hat{\mathbf{e}}_{\mathbf{k}_{out}\lambda'} \times \hat{\mathbf{e}}_{\mathbf{k}_{in}\lambda} \rangle + \sum_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} \vec{s}_{i} | \psi_{i} \rangle \times \\ \times \left\{ \langle \hat{\mathbf{e}}_{\mathbf{k}_{out}\lambda'} \times \hat{\mathbf{e}}_{\mathbf{k}_{in}\lambda} \rangle + \langle \hat{\mathbf{k}}_{out} \times \hat{\mathbf{e}}_{\mathbf{k}_{out}\lambda'} \rangle \right\} \hat{\mathbf{k}}_{out} \cdot \hat{\mathbf{e}}_{\mathbf{k}_{in}\lambda} - \langle \hat{\mathbf{k}}_{in} \times \hat{\mathbf{e}}_{\mathbf{k}_{in}\lambda} \rangle \hat{\mathbf{k}}_{in} \cdot \hat{\mathbf{e}}_{\mathbf{k}_{out}\lambda'} - \langle \hat{\mathbf{k}}_{out} \times \hat{\mathbf{e}}_{\mathbf{k}_{out}\lambda'} \rangle \right\}$$

## **Orbital momentum**

$$\begin{split} &\sum_{i} \langle \psi_{i} \left| e^{i\vec{q}\vec{r}} \frac{i\vec{q} \times \hat{p}}{\hbar k^{2}} \right| \psi_{i} \rangle = \frac{i}{\hbar q} \left( 4sin^{2}\theta_{B} \right) \langle \psi_{i} \left| e^{i\vec{q}\vec{r}} \hat{q} \times \hat{p} \right| \psi_{i} \rangle = \\ &\frac{i}{\hbar q} \left( 4sin^{2}\theta_{B} \right) \hat{q} \times \langle \psi_{i} \left| e^{i\vec{q}\vec{r}} \hat{p} \right| \psi_{i} \rangle = -\frac{im}{e\hbar q} \left( 4sin^{2}\theta_{B} \right) \hat{q} \times \langle \psi_{i} \left| e^{i\vec{q}\vec{r}} \hat{j} \right| \psi_{i} \rangle = \\ &-\frac{im}{e\hbar q} \left( 4sin^{2}\theta_{B} \right) \hat{q} \times \vec{j}(\vec{q}) \end{split}$$

$$\vec{j} = c \left[ \nabla \times \vec{M}_{L} \right] \longrightarrow \vec{j}(\vec{q}) = -ic \left[ \vec{q} \times \vec{M}_{L}(\vec{q}) \right]$$

$$\begin{split} \sum_{i} &\langle \psi_{i} \left| e^{i\vec{q}\cdot\vec{r}} \frac{i\vec{q}\times\hat{p}}{\hbar k^{2}} \right| \psi_{i} \rangle = \frac{mc}{e\hbar q} \left( 4sin^{2}\theta_{B} \right) \widehat{q} \times \left( \vec{q} \times M_{L}(\vec{q}) \right) = \\ &\frac{mc}{e\hbar} \left( 4sin^{2}\theta_{B} \right) \widehat{q} \times \left( \widehat{q} \times M_{L}(\vec{q}) \right) \end{split}$$

## **Total cross section at high energy**

$$\begin{split} \frac{d\sigma}{d\Omega} &= r_{0}^{2} \begin{vmatrix} \sum_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} | \psi_{i} \rangle \left( \widehat{e}_{k_{u}\lambda} \widehat{e}_{k_{u}\lambda} \right) \\ &- i \left( \frac{\hbar \omega_{k}}{mc^{2}} \right) \sum_{i} \left\{ \langle \psi_{i} | e^{i\vec{q}\vec{r}} \frac{i\vec{q} \times \hat{p}}{\hbar k^{2}} | \psi_{i} \rangle P_{L} + \langle \psi_{i} | e^{i\vec{q}\vec{r}} \vec{s}_{i} | \psi_{i} \rangle P_{S} \right\} \end{aligned}$$
$$\begin{aligned} P_{L} &= \left( \widehat{e}_{k_{out}\lambda} \times \widehat{e}_{k_{in}\lambda} \right) \end{aligned}$$
$$\begin{aligned} P_{S} &= \left( \widehat{e}_{k_{out}\lambda} \times \widehat{e}_{k_{in}\lambda} \right) + \left( \widehat{k}_{out} \times \widehat{e}_{k_{out}\lambda}^{*} \right) \widehat{k}_{out} \cdot \widehat{e}_{k_{u}\lambda} + \\ &- \left( \widehat{k}_{in} \times \widehat{e}_{k_{u}\lambda} \right) \widehat{k}_{in} \cdot \widehat{e}_{k_{u}\lambda}^{*} - \left( \widehat{k}_{out} \times \widehat{e}_{k_{u}\lambda}^{*} \right) \times \left( \widehat{k}_{in} \times \widehat{e}_{k_{u}\lambda} \right) \end{aligned}$$

$$\begin{aligned} \frac{d\sigma}{d\Omega} &= r_{0}^{2} \left| \begin{array}{l} \sum\limits_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} | \psi_{i} \rangle (\widehat{e}_{k_{u}\lambda} \widehat{e}_{k_{u}\lambda}) \\ &- i \left( \frac{\hbar \omega_{k}}{mc^{2}} \right) \sum\limits_{i} \left\{ \langle \psi_{i} | e^{i\vec{q}\vec{r}} \frac{i\vec{q} \times \hat{p}}{\hbar k^{2}} | \psi_{i} \rangle P_{L} + \langle \psi_{i} | e^{i\vec{q}\vec{r}} \vec{s}_{i} | \psi_{i} \rangle P_{S} \right\} \right|^{2} \\ \sum\limits_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} \frac{i\vec{q} \times \hat{p}}{\hbar k^{2}} | \psi_{i} \rangle P_{L} &= \frac{mc}{e\hbar q^{2}} \vec{q} \times (\vec{M}_{L}(\vec{q}) \times \vec{q}) P_{L}^{i} \\ P_{L}^{'} &= \left( \widehat{e}_{k_{uu}\lambda^{'}} \times \widehat{e}_{k_{iu}\lambda} \right) 4sin^{2} \theta_{B} \\ \sum\limits_{i} \langle \psi_{i} | e^{i\vec{q}\vec{r}} \vec{s}_{i} | \psi_{i} \rangle &= \frac{mc}{e\hbar} \vec{M}_{S}(\vec{q}) \end{aligned}$$

## **Total cross section at high energy**

$$\frac{d\sigma}{d\Omega} = r_0^2 \left| \frac{\sum_{i} \langle \psi_i | e^{i\vec{q}\vec{r}} | \psi_i \rangle (\hat{e}_{k_{out}\lambda} \hat{e}_{k_{in}\lambda})}{-i\left(\frac{\hbar\omega_k}{mc^2}\right) \left(\frac{mc}{e\hbar q^2} (\vec{q} \times \vec{M}_L(\vec{q}) \times \vec{q}) P'_L + \frac{mc}{e\hbar} \vec{M}_s(\vec{q}) P_s\right) \right|^2$$

$$\vec{\mathbf{P}}_{\mathrm{L}} = \left(\widehat{\mathbf{e}}_{\mathbf{k}_{\mathrm{out}}\lambda^{\mathrm{c}}} \times \widehat{\mathbf{e}}_{\mathbf{k}_{\mathrm{in}}\lambda}\right) 4 \sin^{-2} \theta_{\mathrm{B}}$$

$$\vec{\mathbf{P}}_{S} = \left(\widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda'} \times \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda}\right) + \left(\widehat{\mathbf{k}}_{out} \times \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda'}^{*}\right) \widehat{\mathbf{k}}_{out} \bullet \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda}$$
$$- \left(\widehat{\mathbf{k}}_{in} \times \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda}\right) \widehat{\mathbf{k}}_{in} \bullet \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda'}^{*} - \left(\widehat{\mathbf{k}}_{out} \times \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda'}^{*}\right) \times \left(\widehat{\mathbf{k}}_{in} \times \widehat{\mathbf{e}}_{\mathbf{k}_{u}\lambda}\right)$$